

Technical Subcommittee on Encoded Archival Standards

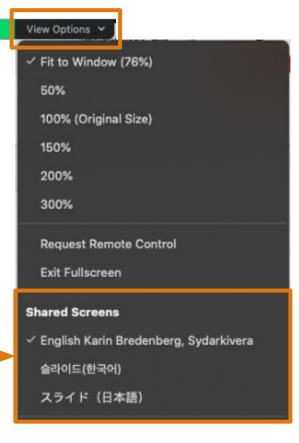
Introduction to the Encoded Archival Description (EAD)

Call-in numbers: https://zoom.us/zoomconference Meeting ID: 890-6267-7251

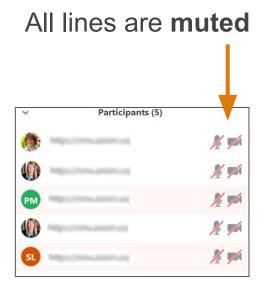


Select the shared screen in your **preferred language**

You are viewing English Karin Bre...'s screen





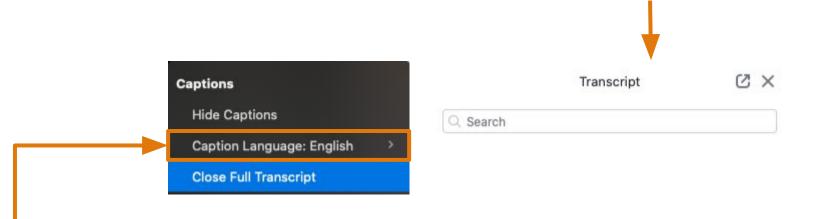




Use chat for questions

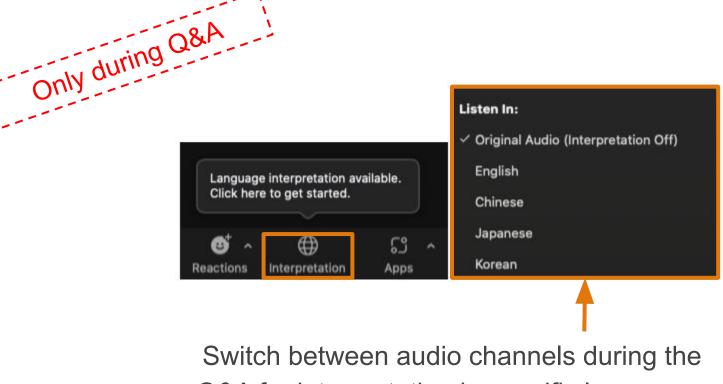


Full Transcript view as an alternative



Keep the caption language set to English





Q&A for interpretation in specific languages





This webinar is being recorded and will be available on YouTube:

https://www.youtube.com/user/saastaff





Technical Subcommittee on Encoded Archival Standards

Introduction to the Encoded Archival Description (EAD)

Presenters

Karin Bredenberg, Kommunalförbundet Sydarkivera (SE), co-chair TS-EAS Kerstin Arnold, Archives Portal Europe Foundation (DE), EAD team lead TS-EAS Li Yanlin, Fudan University Library (CN), TS-EAS member Emi Shirakawa, Tohoku University, and Izumi Hirano, Rikkyo University (JP) Boyoung Kim, The University of Tokyo, and Nami Won, The University of Tokyo Archives (KR)



TS-EAS

- Technical Subcommittee on Encoded Archival Standards at the Society of American Archivists (SAA)
- Background and work covered in this presentation:
 - <u>https://youtu.be/9NXNyx9py-I</u> (status of April 2020)





Where you can find more

Websites and general information

TS EAS <u>https://www2.archivists.org/groups/</u> <u>technical-subcommittee-on-encoded-</u> <u>archival-standards-ts-eas</u>

TS-EAS on GitHub https://github.com/SAA-SDT

EAD publication http://www.loc.gov/ead/index.html

EAC publication <u>https://eac.staatsbibliothek-berlin.de/</u>



SBB



How you can get in contact

Mailing list and reporting options

Our mailing list EAD@LISTSERV.LOC.GOV

Reporting an issue via GitHub https://github.com/SAA-SDT

Reporting an issue via SAA <u>Markov</u> <u>https://www2.archivists.org/standards/</u> <u>TS-EAS-report-an-issue</u>





How TS-EAS works

Membership and teams

Membership

- 50/50 SAA members and international member
- International includes mostly Europe, but also South America, Africa, Asia, and Oceania

Teams

Teams for EAD, EAC-CPF, EAC-F Schema team Outreach team



Standards revision

Minor revisions

Annual rolling revision cycle for minor releases (see more on GitHub: <u>https://github.com/SAA-SDT/TS-</u> <u>EAS-subteam-notes/blob/maste</u> <u>r/rolling-revision-cycle.md</u>)



Standards revision

Major revision

Evaluate standards for potential major revision every five years following guidelines by SAA's Standards Committee (see more: https://www2.archivists.org/gove rnance/handbook/section7/grou ps/Standards/Development-and-Review)



Standardisation of archival description



A little detour: Interoperability

"Interoperability is the ability of organisations to interact towards **mutually beneficial goals**, involving the **sharing of information and knowledge** between these organisations, through the business processes they support, by means of the **exchange of data** between their ICT systems."

The EIF aims at any kind of public services provided on national and EU levels, amongst public bodies, between public bodies and businesses, between public bodies and citizens. See for more details: <u>https://joinup.ec.europa.eu/</u> <u>collection/nifo-national-interoperability-framework-observatory/european-interoperability-framework-detail</u>



- Two or more systems communicate with each other
 - They use common data formats, e.g. XML (eXtensible Markup Language)
 - They use common communication protocols, e.g. SQL (Structured Query Language) or OAI-PMH (Open Archives Initiative Protocol for Metadata Harvesting)

Does this mean they always and in all aspects understand each other?



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Does this mean they always and in all aspects understand each other?

To a certain extent...



- Two or more systems exchange data based on:
 - An agreed **understanding** of each other's organisational **context**
 - This can include organisational processes, workflows, and guidelines, but can also extend to an organisation's structure, responsibility and e.g. legal context
 - An agreed shared objective or goal, e.g. enabling access to archival descriptions for a broad public audience
 - An agreed application of principles such as **openness** (open data, open source development, open specifications and standards), **reusability** (of data, information and IT solutions), data **portability** (ease of export and import) etc.

Does this mean they have to do exactly the same thing(s)?



- Two or more systems exchange data based on:
 - An agreed **understanding** of each other's organisational **context**
 - An agreed shared objective or goal
 - An agreed application of principles such as openness, reusability, data portability etc.

Does this mean they have to do exactly the same thing(s)?

Not in each and every detail...



Standards enable and support interoperability

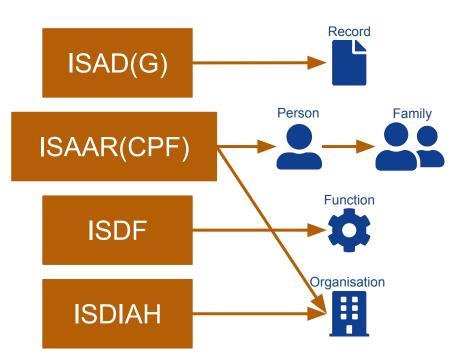


The International Council on Archives (ICA)

- International expert groups since the 1990s
- Four related, but independent standards:
 - General International Standard Archival Description ISAD(G)
 - International Standard Archival Authority Record for Corporate bodies, Persons and Families - ISAAR(CPF)
 - International Standard for Describing Functions ISDF
 - International Standard for Describing of Institutions with Archival Holdings -ISDIAH









Purpose of the ICA description standards

- General guidance for preparing
 - Archival descriptions
 - Archival authority records
 - Descriptions of functions
 - Description of holders of archives
- In conjunction with (existing) national standards
- As basis for (new) national standards

I.e.supporting **consistency** of archival descriptions and enabling ease of **retrieval** based on different aspects of archival description



Extended objective \rightarrow Interoperability

- Enabling the **exchange** of archival descriptions
 - For internal purposes, e.g. from the archival description system to a digital preservation system
 - For external purposes, e.g. for the publication of archival finding aids or the creation of a web-based archival catalogue
- Enabling the **sharing** of archival descriptions
 - Across different departments of one organisation
 - Via an aggregation service for archival descriptions
 - Via a cross-domain aggregation service



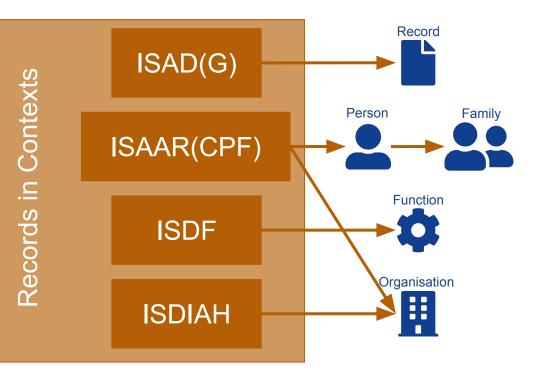
For reference

The current versions

- <u>ISAD(G)</u>, 2nd edition, 2000
- ISAAR(CPF), 2nd edition, 2004
- <u>ISDF</u>, 1st edition, 2007
- ISDIAH, 1st edition, 2008







For completeness

- Records in Contexts integrates and replaces the four ICA description standards
- Version 1.0 of the
 - <u>Conceptual Model</u> and the <u>Ontology</u> published at the end of 2023



Descriptive standards day-to-day



A reminder: ISAD(G) structure

- Seven areas of descriptive information
 - Identity statement
 - Context
 - Content and structure
 - Condition of access and use
 - Allied materials
 - Note(s)
 - Description control



A reminder: ISAD(G) essentials

- Only information in the identity statement area is labelled essential
 - Reference code
 - Title
 - Date(s)
 - Level of description
 - Extent
- This set of essential information is completed by one element of the context area
 - Name of creator(s)



A reminder: ISAD(G) principles

- Multilevel description
 - Description from the general to the specific
 - Representing the context and the hierarchical structure of the fonds/collection and its parts
 - Information relevant to the level of description
 - Only providing such information as is appropriate to the level being described
 - Linking of descriptions
 - Making explicit the position of the unit of description in the hierarchy
 - Non-repetition of information
 - Avoiding redundancy in hierarchically related archival descriptions



ArchivesSpace example

The Four Accomplishments (Kinkishoga), ca. 1788–90 Archivel Object

Basic Information		
Title	The Four Accomplishments (Kinkishoga)	
Ref ID	d783e9612f873ed087c30459936211c8	
Component Unique Identifier	A123/1	
Level of Description	Item	
Publish?	True	
Has Unpublished Ancestor?	False	
Restrictions Apply?	False	
		Created by admin 2024-05-16 02:18:25-0400 Last Modified by admin 2024-05-16 02:21:18-0400 URI:/repositories/2/archival_objects/8302
Dates		
> Creation	ca. 1788-90	Approximate
Extents		
> 1 object(s)		
Agent Links		
Primary Role	Relator	Agent
Creator		Kitagawa Utamaro (c. 1753-31 October 1806)
Rights Statements		
> Copyright	Public Domain	1906-11-01
Instances		
> Digital Object	The I	Four Accomplishments (Kinkishoga)



AtoM example

Identity area

Reference code

US-Met A123-A123/1

Identifier *

A123/1

Provide a specific local reference code, control number, or other unique identifier. The country and repository code will be automatically added from the linked repository record to form a full reference code. (ISAD 3.1.1)

+ Add alternative identifier(s) 🕴 🏟 Generate identifier

Title *

The Four Accomplishments (Kinkishoga)

Provide either a formal title or a concise supplied title in accordance with the rules of multilevel description and national conventions. (ISAD 3.1.2)

Date(s) *

Гуре	Date	Start	End	
Creation ~	ca. 1788-90	1788	1790	×
Creation ~				×

"Identify and record the date(s) of the unit of description. Identify the type of date given. Record as a single date or a range of dates as appropriate." (ISAD 3.1.3). The Date display field can be used to enter free-text date information, including typographical marks to express approximation, uncertainty, or qualification. Use the start and end fields to make the dates searchable. Do not use any qualifiers or typographical symbols to express uncertainty. Acceptable date formats: YYYYMMDD, YYYY-MM, -DD, YYYY-MM, YYY.

Level of description *

Item



Extent and medium *

 \wedge

~

1 object 15 x 30 in. (38.1 x 76.2 cm)

Record the extent of the unit of description by giving the number of physical or logical units in arabic numerals and the unit of measurement. Give the specific medium (media) of the unit of description. Separate multiple extents with a linebreak. (ISAD 3.1.5)

~

Context area

Name of creator(s) *

Kitagawa Utamaro

Record the name of the organization(s) or the individual(s) responsible for the creation, accumulation and maintenance of the records in the unit of description. Search for an existing name in the authority records by typing the first few characters of the name. Alternatively, type a new name to create and link to a new authority record. (ISAD 3.2.1)

Excel spreadsheet example

	A	В	C	D	E	F	1	L	М	N	0	Р	Q	R	S	Т	U	
1	level number	level type	unitid	title	date expressio	year begin	year end	extent numbe	extent value	dimensions	origination	repository	language cod	le dao link	dao title			
2	1	collection	A123	Arts	1720 to 1850	1720	1850	25	items			The Metropo	oli jpn - Japanes	e				
3	2	2 item	A123/1	The Four Acco	ca. 1788-90	1788	1790	1 object(s)		15 x 30 in. (38 Kitagawa Utamaro			jpn - Japanese https://collect The Four Accomplishment				nts (Kinkish	noga)
4	2	2 item	A123/2															
5	2	2 item	A123/3															
6	2	2 item	A123/4															
7	2	2 item	A123/5															
8	2	2 item	A123/6															
9	2	2 item	A123/7															
10	2	2 item	A123/8															
11	2	2 item	A123/9															
12	2	2 item	A123/10															

(based on https://github.com/fordmadox/Excel-to-DSC/tree/master by Mark Custer)



Encoded Archival Standards



The Society of American Archivists (SAA)

- Standards Committee
- Technical Subcommittees
 - On Encoded Archival Description (superseded)
 - On Encoded Archival Context (superseded)
 - On Encoded Archival Standards (since 2016)
- International experts groups despite being US-American based

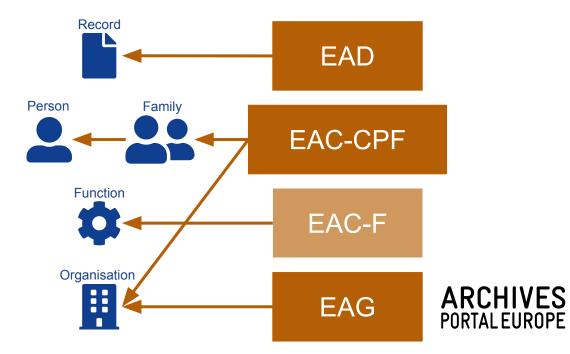


Encoded Archival Standards

- Representations of ICA's description standards in machine-readable format
- Three related, but independent standards:
 - Encoded Archival Description EAD
 - Encoded Archival Context Corporate bodies, Persons and Families EAC-CPF
 - Encoded Archival Guide EAG
 - Encoded Archival Context Functions EAC-F draft to be published in 2024









Purpose of the Encoded Archival Standards

- **Consistent** and **structured** way to encode archival descriptions
- Enabling the **sharing** and **discoverability** of machine-readable information across systems
- Providing the building blocks for **collaborative**, **collective resources**
 - Enhancing access to archival resources
 - Decreasing duplication of effort
- Enabling the **aggregation** of metadata at local, regional, national and international levels
- Enabling the sharing of **expertise and tool development**



For reference

- EAD
 - <u>Official website</u> hosted by Library of Congress
 - Current development and technical information on <u>GitHub</u>
 - Two current versions: EAD3 and predecessor EAD 2002
 - Major revision ongoing: call for comments on draft for EAD 4.0 published on 19 April 2024
- EAC-CPF
 - <u>Official website</u> hosted by Staatsbibliothek zu Berlin
 - Current development and technical information on <u>GitHub</u>
 - Latest version: EAC-CPF 2.0



Any questions so far?



A few words on XML



eXtensible Markup Language

- Consists of elements (<...>) and attributes (@)
- Elements hold the actual content
 - Indicated by pointy brackets
 - Have an opening tag <name>
 - And a closing tag </name>
- Attributes provide an option to identify, specify, type, or normalise
 - Included in the opening tag of an element
 - Indicated by the equal sign and quotation marks

<date normal="2024-05-21">21st of May 2024</date>



eXtensible Markup Language

• Parents and children in a hierarchical structure <parentElement>

<childElement1>Child 1</childElement1>

<childElement2>Child 2</childElement2>

</parentElement>

• In-line tagging or mixed content

<parentElement>This is an example with a
 <childElement attribute="URL">link</childElement>
 included in a longer piece of text
</parentElement>



eXtensible Markup Language

- Defining parts of an XML standard
 - XML schema defines how elements and attributes can or have to be used
 - **Data type** defines what an element/attribute can contain, e.g. free text, numbers, predefined text, normalised values, etc.
 - **Namespace** defines the "homespace" for elements and attributes in the schema



The XML family

- Modular group of techniques that can be used together
 - XML document: data *.xml
 - XML structure: schema *.xsd (also: DTD, Relax NG, Schematron)
 - XML namespaces: ns:namespace
 - XML stylesheet: transformation *.xsl (also: XSL-FO, CSS)
 - XML base: definition of URIs
 - XML selection: XQuery
 - XML look-up: XPath
 - XML connection: XPointer, XLink, XInclude



The background of developing the Encoded Archival Description (EAD)



EAD and ISAD(G)

- EAD is closely aligned to the principles and practices of archival description reflected in ISAD(G)
 - Description from the general to the specific
 - Requires description of highest hierarchical level
 - Provides optional extension with descriptions of lower hierarchical levels
 - Information relevant to level of description
 - Allows for the same elements on all hierarchical levels
 - Linking of descriptions
 - Makes use of the XML hierarchy to represent the intellectual hierarchy
 - Non-repetition of information



Crosswalk from ISAD(G) to EAD (here: EAD3)

ISAD(G)	EAD
3.1.1 Reference code(s)	<agencycode> and <recordid> within <control>; <unitid> with @countrycode and @repositorycode</unitid></control></recordid></agencycode>
3.1.2 Title	<unittitle></unittitle>
3.1.3 Dates	<unitdate>, <unitdatestructured></unitdatestructured></unitdate>
3.1.4 Level of description	<archdesc> and <c>@level</c></archdesc>
3.1.5 Extent and medium of the unit	<physdesc>, <physdescstructured></physdescstructured></physdesc>
3.2.1 Name of creator	<origination></origination>
3.2.2 Administrative/Biographic history	 sighist>
3.2.3 Archival history	<custodhist></custodhist>
3.2.4 Immediate source of acquisition	<acqinfo></acqinfo>

https://www.loc.gov/ead/EAD3taglib/EAD3-TL-eng.html#appendix-AppendixAEADCrosswalks



The history of EAD

- 1990s: First version of EAD is developed
- 1998: EAD is adopted officially as a standard by SAA
- 2002: A revised version, EAD 2002, is released
 - Addresses international comments
 - Reduces some overstructure
- 2015: A major revision results in the release of EAD3
 - Aims at greater conceptual and semantic consistency in the use of EAD
 - Explores mechanisms to more seamlessly and effectively connect with, exchange, or incorporate data maintained according to other protocols
 - Improves functionality for international and multilingual usage



The general structure of EAD







<ead>

The root element

- Identifies the XML document as an EAD XML document
- Includes the **namespace** declaration, e.g. to distinguish between an EAD XML document following EAD 2002 and an EAD XML document following EAD3
- Includes information about the location of the schema against which the EAD XML document validates, i.e. the technical specification

If you export EAD from a collection management system, all of this information is usually predefined in the system settings already.

If you create EAD in an XML editor, the software usually includes an option to associated the EAD XML document with a schema, which will create all this information automatically.

If you use EAD as the result of a transformation e.g. from information in an Excel spreadsheet, the transformation script usually defines that all this information is added.



<control>

Administrative information about the EAD XML document itself

- Who created the EAD XML document when and how
- Versions of and changes to the EAD XML document
- Rules and conventions applied in the creation of the EAD XML document resp. the archival descriptions that it encodes

The Note(s) and Description control areas of ISAD(G)

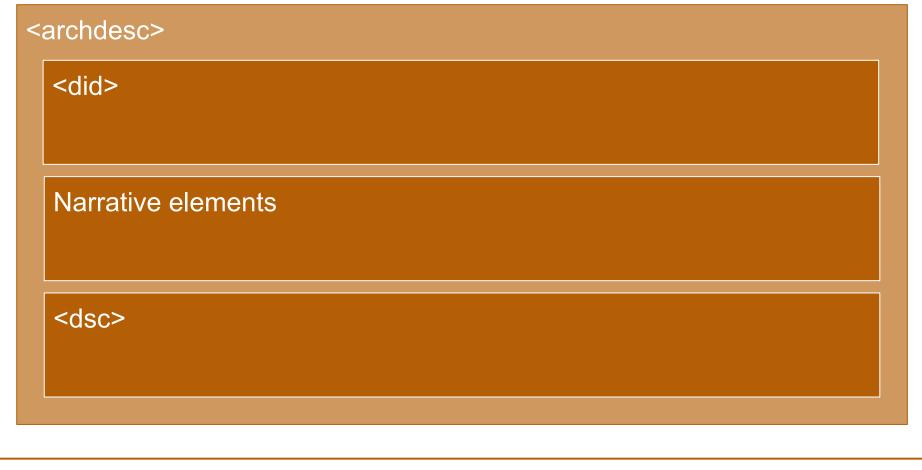


<archdesc>

Information about the materials themselves and the relationships between the materials and other entities, for example, the records creators

• Here: the highest level of **archival description**, i.e. usually the fonds or collection or a high-level series or subfonds







<did>

Identifying information about the unit of description, e.g. identifier, title, dates, physical description, name of the records creator, language of materials, etc.

The essential elements of ISAD(G)'s Identity statement and Context areas Plus further elements supporting machine-readability



Narrative elements Additional information about the context of the materials being described

The Context, Content and structure, Conditions of access and use, and Allied materials areas of ISAD(G)



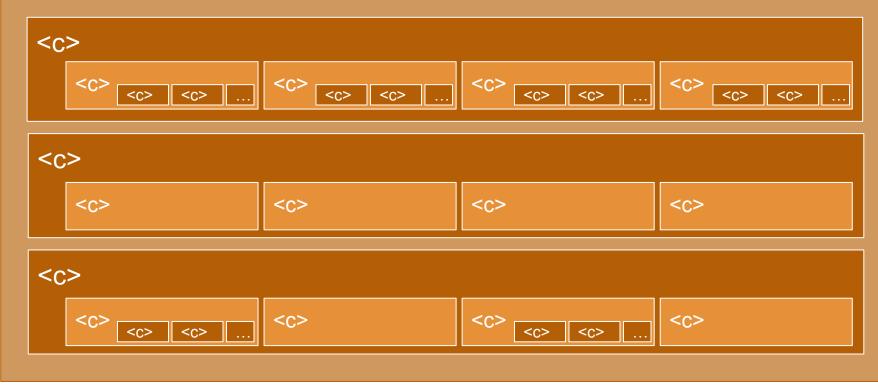
The starting point of a multilevel description

<dsc>

A wrapper to include **descriptions of the components** of a fonds/collection









```
<ead>
```

```
<control>[...]</control>
```

<archdesc>

<did>

<unittitle></unittitle> (or at least one other child element of <did>)
 </did>
 <scopecontent>[...]</scopecontent> (as an example for a narrative element)

<dsc>

- <C>
- [...]

</c>

</dsc>

</archdesc>

</ead>

bold = mandatory elements, *italic* = optional elements, dark orange = example/one out of several elements that could be used in this context



Any questions so far?



The <control> section in detail



Mandatory elements

- The EAD standard only prescribes a handful of elements that are mandatory for all EAD XML documents
 - There also are elements that become mandatory only depending on the context(s) in which they are used
 - And there are contexts where it is required to have at least one of x number of elements, but there is no prescription as to which of the possible elements to use
 - Last: while an element might be mandatory, it might still be possible to leave the element itself empty (though this would not be the recommended approach)
- Most of the mandatory elements are in the <control> section



<control></control>	
<recordid></recordid>	
<filedesc></filedesc>	
<maintenancestatus></maintenancestatus>	
<maintenanceagency></maintenanceagency>	
<maintenancehistory></maintenancehistory>	



<recordid>

A **unique identifier of the EAD XML document** itself. Should at least be unique and persistent within the workflows of the institution creating the EAD XML file. Ideally, a globally unique and persistent identifier should be applied, e.g. an ARK (Archival Resource Key) or a DOI (Digital Object Identifier) or similar.

There also is an optional element called *<otherrecordid>*, which may be used to e.g. encode previously used organisational identifiers next to a globally unique identifier or to capture local identifiers assigned by the creating institution next to an identifier used within the context of an aggregating service.



<filedesc>

An element providing a reference to printed finding aids and an option to encode **bibliographic information** about these. There are five statement ("stmt") areas within <filedesc>: the mandatory <titlestmt>, which must include at least a **title for the finding aid** (captured in <titleproper>) and allows for the encoding of subtitles and the name(s) of (an) author(s) or sponsor(s), plus optional elements <editionstmt>, <publicationstmt>, <seriesstmt>, and <notestmt>.

The optional element *<representation>* is available next to *<*filedesc> to encode information about digital versions of a finding aid, e.g. in PDF or HTML.



<maintenancestatus>

An indication of the **current drafting or versioning status of the EAD XML document**. Uses a predefined set of possible values to choose from, starting with "new" or "derived" via "revised" to variations of "deleted". Should be updated as necessary with every revision of an EAD XML document.

The optional *<publicationstatus>* indicates whether an EAD XML document is in process or approved or published.



Encodes information about the **institution or service responsible for** the creation, maintenance, and/or dissemination of **the EAD XML document**. Must include the name (<agencyname>) and may also capture various identifiers (<agencycode> and <otheragencycode>).

A <descriptivenote> may be added to provide general information about the institution and service in relation to the EAD XML document.

<maintenanceagency>



Consists of one or more <maintenanceevent> elements to encode information about the **creation** of the EAD XML document as well as any **revisions**, **updates**, **and other changes** applied to it. Each event must specify its type (e.g. "created" or "updated"); it must name the agent responsible for the event and provide the agent type (a "human" or a "machine"); and it must include information about the date and time when the event took place. An optional <eventdescription> allows for the inclusion of further information about the event.

<maintenancehistory>



<control>

<recordid>123456789</recordid>

<filedesc>

<titlestmt>

<titleproper>Guide to the "Collection of maps of East Asia"</titleproper>

</titlestmt>

</filedesc>

<maintenancestatus value="new"/>

<maintenanceagency>

<agencyname>Archives of East Asia</agencyname>

</maintenanceagency>

<mainteancehistory>

<mainteanceevent>

<eventtype value="created"/>

<eventdatetime standarddatetime="2024-05-21"/>

<agenttype value="machine"/>

<agent>Export from local system Archives Management version 1.2.3</agent>

</maintenanceevent>

</mainteancehistory>

</control>

bold = mandatory elements, *italic and bold* = mandatory attributes



Further optional child elements of <control>

- Declaration elements to refer to and optionally describe
 - The rules and conventions followed when creating the archival descriptions encoded in the EAD XML document
 - The language(s) and script(s) present in the EAD XML document
 - The copyright and licensing models applied to the EAD XML document
 - The definition of any local types used throughout the EAD XML document, e.g. when using different types of reference codes
- An element to encode any sources used in the creation of the archival descriptions encoded in the EAD XML document
- An element for any local administrative (i.e. "control") data



From ArchivesSpace to EAD - <control>

<control countryencodina="iso3166-1" dateencodina="iso8601" langencodina="iso639-2b" relatedencodina="marc" repositoryencodina="iso15511" scriptencodina="iso15924"> <recordid instanceurl="">https://www.metmuseum.ora/art/collection/ark:/99999/a123/</recordid> <filedesc> <titlestmt> <titleproper>Guide to collection of Asian Art </titleproper> </titlestmt> <publicationstmt> <publisher>The Metropolitan Museum of Art</publisher> <num>A123</num> </publicationstmt> </filedesc> <maintenancestatus value="derived"/> <maintenanceagency countrycode="US"> <agencyname>The Metropolitan Museum of Art</agencyname> </maintenanceagency> <lanauaaedeclaration> <language langcode="eng">English</language> <script scriptcode="Latn">Latin</script> <descriptivenote> The main language of description is English, though some titles are also provided in the original languages used by the artists, designer and calliographers who created the items in this collection </descriptivenote> </languagedeclaration> <maintenancehistory> <maintenanceevent> <eventtype value="derived"/> <eventdatetime>2024-05-16T02:38:18-04:00</eventdatetime> <agenttype value="machine"/> <agent>ArchivesSpace v3.5.0</agent> <eventdescription>This finding aid was produced using ArchivesSpace on Thursday May 16, 2024 at 02:38</eventdescription> </maintenanceevent> </maintenancehistory> </control>

SAA American Archivists

From AtoM to EAD - <eadheader>*

```
<eadheader langencoding="iso639-2b" countryencoding="iso3166-1" dateencoding="iso8601"</pre>
  repositoryencoding="iso15511" scriptencoding="iso15924" relatedencoding="DC">
  <eadid identifier="https://www.metmuseum.org/art/collection/ark:/99999/a123/" mainagencycode="US-Met"
   url="https://demo.accesstomemory.org/arts" encodinganalog="identifier">A123</eadid>
  <filedesc>
    <titlestmt>
     <titleproper encodinganalog="title">Arts</titleproper>
    </titlestmt>
    <publicationstmt>
      cpublisher encodinganalog="publisher">The Metropolitan Museum of Art</publisher>
      <address>
       <addressline>1000 Fifth Avenue</addressline>
       <addressline>New York</addressline>
       <addressline>NY 10028</addressline>
      </address>
      <date normal="2024-05-15" encodinganalog="date">2024-05-15</date>
    </publicationstmt>
  </filedesc>
  <profiledesc>
    <creation>Generated by Access to Memory (AtoM) 2.7.3
     <date normal="2024-05-16">2024-05-16 07:32 UTC</date>
  </creation>
    <langusage>
     <language langcode="eng">English</language>
     <language scriptcode="Latn">Latin</language>
    </langusage>
  </profiledesc>
                                                             Note that AtoM currently exports EAD 2002, but not EAD3
</eadheader>
```



From Excel spreadsheet to EAD - <control>

<control> <recordid>A123</recordid> <filedesc> <titlestmt> <titleproper>Arts</titleproper> </titlestmt> </filedesc> <maintenancestatus value="derived"/> <maintenanceagency> <agencyname>The Metropolitan Museum of Art</agencyname> </maintenanceagency> <maintenancehistory> <maintenanceevent> <eventtype value="derived"/> <eventdatetime standarddatetime="2024-05-16"/> <agenttype value="machine"/> <agent>Automated transformation from CSV to EAD3</agent> </maintenanceevent> </maintenancehistory> </control>



Any questions so far?



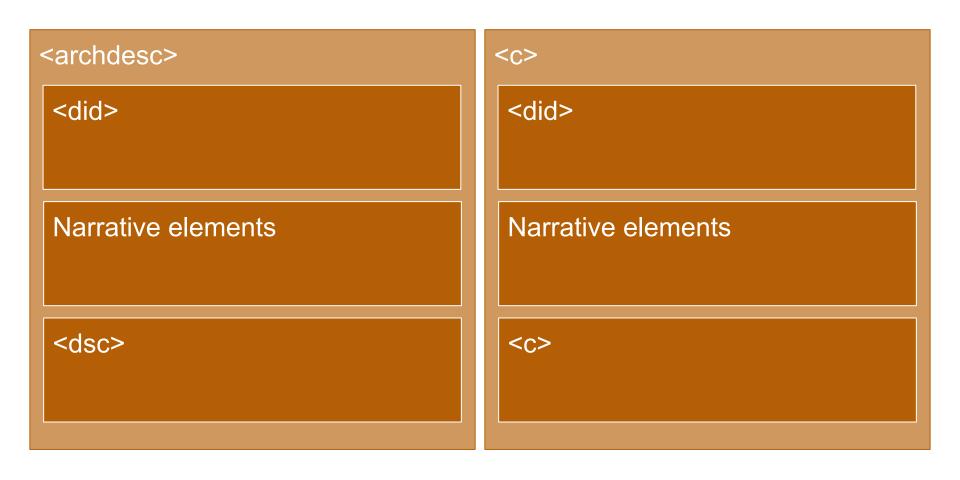
<archdesc> and <c> in detail



Encoding archival descriptions

- The information about the archival materials is encoded in the elements <archdesc> ("archival description") and <c> ("component")
- There are either unnumbered <c> elements or numbered ones reaching from <c01> to <c12>
 - For this presentation, we will stick with the unnumbered <c> elements, but what is said for these applies in the same way to the numbered ones
- <archdesc> and the <c> elements follow the same content model





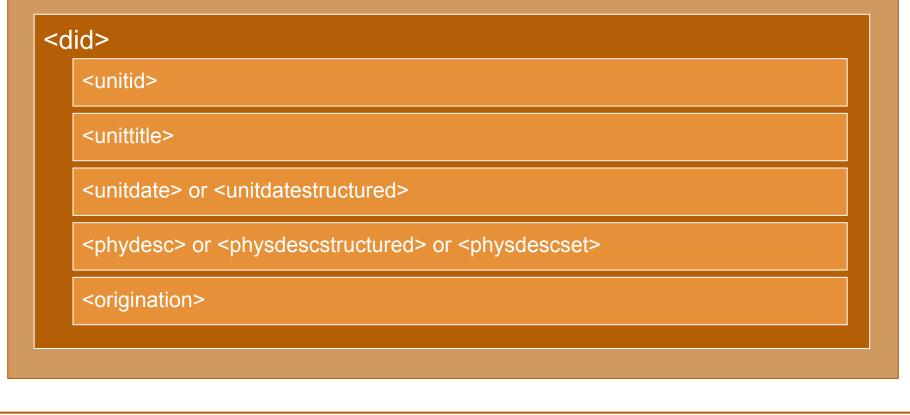


Mandatory elements of archival description

- The only element required within <archdesc> and <c> respectively is the descriptive identification or <did>
 - I.e. an EAD XML document might only include the description of the highest level of the archival material (in <archdesc>), but no detailed description of its components as the relevant wrapper element (<dsc>) is only optional
 - Similarly, the description of a component, e.g. an archival series, can include the description of its subcomponents, but it doesn't have to
- Of the 17 identifying elements in <did>, only one has to be present and this can be any one of the group
 - It is highly recommended to capture the essential information defined by ISAD(G)



<archdesc level="[...]"> or <c level="[...]">





```
<archdesc level="[...]"> or <c level="[...]">
```

Indicating the level of description. Used with a predefined list of values from "fonds" or "collection" to "item".

The also available value "otherlevel" together with the parallel attribute @otherlevel makes this list extensible for local variations.



<unitid>

A unique identifier for the materials being described such as an accession number or a reference code.

Can be extended by the attributes @countrycode and @repositorycode for global uniqueness. If applicable, <unitid> can also hold persistent identifiers such as ARKs or DOIs etc. used for the archival materials.



<unittitle>

A (unique) title identifying the materials being described. Can be formal or supplied, a single word or a phrase.



The date(s) the described materials were created, issued, copyrighted, broadcast, etc. May be in the form of text or numbers, and may consist of a single date, a date range, or a combination of single dates and date ranges.

<unitdate> or <unitdatestructured>

While <unitdate> captures this in one single element, <unitdatestructured> includes child elements <datesingle>, <daterange>, or <dateset> for a more structured encoding. Both options also include a possibility to encode a normalised, machine-readable date.



A statement about the physical characteristics of the described materials, such as their dimensions, a count of their quantity, a measurement of the space they occupy, and terms describing their genre or form, as well as any other aspects of their appearance, such as colour, substance, style, and technique or method of creation. Can either be given in an unstructured or structured form; structured statements can also be grouped.

<phydesc> or <physdescstructured> or <physdescset>



The name of an individual, organisation, or family responsible for the creation, accumulation, or assembly of the described materials. The child elements <persname>, <corpname>, <famname> are used to indicate what type of agent is being named.

<origination>



Further optional child elements of <did>

- Elements to encode the physical housing or location of the materials
- Elements to name the holding institution of the materials
- Elements to link to and describe digitised versions of the materials
- An element to encode the language(s) and script(s) of the materials
- An element to encode details that are unique to a particular class or form of materials, e.g. scale for cartographic and architectural records or presentation data that describes the format of music manuscripts
- An element for a brief characterisation of the materials
- An element to encode any other notes about the materials



<archdesc> or <c>

<did>

Narrative elements



Optional narrative elements

- Allow for a more details encoding of information usually covered in the ISAD(G) areas Context, Content and structure, Conditions of access and reuse, and Allied materials
- Examples are the elements <bioghist>, <scopecontent>,
 <accessrestrict>, or <relatedmaterial>
- All of these elements are structured in the same way enabling a formatting of longer texts with paragraphs, lists, tables, etc.

See the EAD3 Tag Library description of <archdesc> for a complete list: https://www.loc.gov/ead/EAD3taglib/EAD3-TL-eng.html#elem-archdesc



Controlled vocabularies

- One of the narrative elements is <controlaccess>
- While this can be used with descriptive texts as well, its main usage is with child elements such as
 - <corpname>, <famname>, <persname> for the names of agents
 - <geogname> for the names of places, or
 - <subject> for topics and themes

to encode access terms relevant to the materials being described

- Helps to enable authority-controlled searching across finding aids
- Allows for referencing national or international vocabularies to enable interoperability outside of one's own institution



Relations

- Optionally available next to the narrative elements: <relations>
- Introduced in EAD3 following the example of EAC-CPF (where it is based on ISAAR(CPF)'s section on relating corporate bodies, persons, and families to archival materials and other resources)
- Used to identify external entities related to the materials being described and to characterise the nature of their relationships
- Can be seen as an extension to <controlaccess> and other elements



From ArchivesSpace to EAD - <archdesc>

<archdesc level="collection"> <did> <unittitle>Arts</unittitle> <unitid>A123</unitid> <repository> <corpname> <part>The Metropolitan Museum of Art</part> </corpname> </repository> <langmaterial> <languageset> <language langcode="eng">English</language> <script scriptcode="Latn">Latin</script> </languageset> </langmaterial> <physdescstructured coverage="whole" physdescstructuredtype="spaceoccupied"> <quantity>25</quantity> <unittype>Items</unittype> </physdescstructured> <unitdatestructured datechar="creation" unitdatetype="inclusive"> <dateranae> <fromdate standarddate="1720">1720</fromdate> <todate standarddate="1850">1850</todate> </dateranae> </unitdatestructured> </did> <dsc> [32 lines] </archdesc>



From ArchivesSpace to EAD - <c>

```
<c id="aspace_d783e9612f873ed087c30459936211c8" level="item">
  <did>
    <unittitle>The Four Accomplishments (Kinkishoga)</unittitle>
    <unitid>A123/1</unitid>
    <origination label="Creator">
     <persname>
       <part>Kitagawa Utamaro (c. 1753-31 October 1806)</part>
     </persname>
   </origination>
    <physdescstructured coverage="whole" physdescstructuredtype="spaceoccupied">
     <quantity>1</quantity>
     <unittype>object(s)</unittype>
     <dimensions>15 x 30 in. (38.1 x 76.2 cm)</dimensions>
    </physdescstructured>
    <unitdatestructured certainty="approximate" datechar="creation" unitdatetype="inclusive">
     <daterange>
       <fromdate standarddate="1788">1788</fromdate>
       <todate standarddate="1790">1790</todate>
     </dateranae>
    </unitdatestructured>
   <unitdate certainty="approximate" datechar="creation" unitdatetype="inclusive">
     ca. 1788-90</unitdate>
    <dao daotype="otherdaotype" otherdaotype="still_image"</pre>
     href="https://collectionapi.metmuseum.org/api/collection/v1/iiif/37271/149429/main-image"
     linktitle="The Four Accomplishments (Kinkishoga)" localtype="image-service">
     <descriptivenote>
       The Four Accomplishments (Kinkishoga)
     </descriptivenote>
    </dao>
 </did>
</0
```



From AtoM to EAD - <archdesc>

```
<archdesc level="collection" relatedencoding="ISAD(G)v2">
  <did>
   <unittitle encodinganalog="3.1.2">Arts</unittitle>
   <unitid encodinganalog="3.1.1" repositorycode="US-Met">A123</unitid>
   <unitdate normal="1720/1850" encodinganalog="3.1.3">1720 to 1850</unitdate>
   <physdesc encodinganglog="3.1.5">25 items</physdesc>
    <repository>
     <corpname>The Metropolitan Museum of Art</corpname>
      <address>
        <addressline>1000 Fifth Avenue</addressline>
        <addressline>New York</addressline>
        <addressline>NY 10028</addressline>
     </address>
   </repository>
   <langmaterial encodinganalog="3.4.3">
     <language langcode="eng" scriptcode="Latn">English</language>
     <language langcode="jpn" scriptcode="Jpan">Japanese</language>
   </lanamaterial>
   <origination encodinganalog="3.2.1">
     <persname id="atom_2004238_actor">Kitagawa Utamaro</persname>
   </origination>
  </did>
  <odd type="publicationStatus">
   published
  </odd>
  <processinfo>
   The main language of description is English, though some titles are also provided in the original languages used by the artists,
      designer and calliographers who created the items in this collection
  </processinfo>
  <dsc type="combined"> [17 lines]
</archdesc>
```



From AtoM to EAD - <c>



From Excel spreadsheet to EAD - <archdesc>

```
<archdesc level="collection">
 <did>
   <unitid>A123</unitid>
   <unittitle>Arts</unittitle>
   <unitdate normal="1720/1850">1720 to 1850</unitdate>
   <physdescstructured coverage="whole" physdescstructuredtype="spaceoccupied">
     <quantity>25</quantity>
     <unittype>items</unittype>
   </physdescstructured>
   <repository>
     <corpname>
       <part>The Metropolitan Museum of Art</part>
     </corpname>
   </repository>
   <langmaterial>
     <languageset>
        <language langcode="eng">English</language>
       <language langcode="jpn">Japanese</language>
       <script scriptcode="Latn">Latin</script>
        <script scriptcode="Jpan">Japanese (alias for Han + Hiragana + Katakana)</script>
     </languageset>
   </langmaterial>
 </did>
 <dsc> [20 lines]
</archdesc>
```



From Excel spreadsheet to EAD - <c>

```
<c level="item">
  <did>
    <unitid>A123/1</unitid>
    <unittitle>The Four Accomplishments (Kinkishoga)</unittitle>
    <unitdate normal="1788/1790">ca. 1780-90</unitdate>
    <physdescstructured coverage="whole" physdescstructuredtype="spaceoccupied">
      <quantity>1</quantity>
      <unittype>object</unittype>
      <dimensions>15 x 30 in. (38.1 x 76.2 cm)</dimensions>
    </physdescstructured>
    <origination>
      <persname>
        <part>Kitagawa Utamaro</part>
      </persname>
    </origination>
    <dao daotype="derived" href="https://collectionapi.metmuseum.org/api/collection/v1/iiif/37271/149429/main-image"</pre>
      linktitle="The Four Accomplishments (Kinkishoga)"/>
  </did>
</0
```



Any questions so far?



Creating EAD documents



Exporting from a collection management system

- Collection management systems such as e.g. ArchivesSpace or AtoM usually include a variety of export options including an export to EAD3 or the predecessor version EAD 2002
- This is not only important for sharing data externally, but also e.g. in the process of internal migration of data to a new system
- Most of these exports will be predefined by the system, though some systems allow for customisation
- This might also include the option to add information only at the time of exporting such as e.g. the identifier for the EAD XML file itself



Exporting from a collection management system

Arts			Collection								
The Four Accomplishm	Item	Digital O	bject								
											Y
Basic Information	Edit	Add Event -	Publish All	Unpublish All	View Published	Export -	Merge -	Transfer 🗸	More 🗸	Suppress	Delete
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Dates	Arts			0.000000000	load MARCXML		Contraction of the	e unpublishe	2000		
Extents					load Container L			e <dao> tag</dao>			
Finding Aid Data	Basic Inforn	nation			load Container T		tags	umbered <c:< td=""><td>></td><td></td><td></td></c:<>	>		
Assessments	Title	Arts		1.000	rate PDF	> p	EAD3	schema			
	Identifier	A123									
	Level of Description	Collection	1								

(here: ArchivesSpace)



Exporting from a collection management system

The Metropolitan Museum of Art	Collection A	123 - Arts		
	Hide hierarchy			
earch hierarchy	←	3 - Arts - The Four Accomplishments (Kinkishoga)		
	Image carousel		~	Clipboard
	Identity area		>	Explore
	Reference code Title Date(s) Level of description	Arts • 1720 to 1850 (Creation)		 Beports Enowse as list Import XML CSV
	Extent and medium	25 items	>	Export Dublin Core 1.1 XML EAD 2002 XML
	Name of creator	Kitagawa Utamaro (c. 1753 to 31 October 1806)		Finding aid

(here: AtoM)



Transforming data in other formats to EAD

- Used when a collection management system does not include an export to EAD, but e.g. an export to another XML standard such as Dublin Core or a system-specific XML export
- Used when not working with a collection management system, but with some other software that provides data in a machine-readable, non-proprietary format such as CSV



Transforming data in other formats to EAD

A	В	С	D	E	F	1. I.	L	M	Ν		Р	Q	R	S	Т	
level number	level type	unitid	title	date expressic	ear begin	year end	extent numbe	extent value	dimensions	origination	repository	language code	a dao link	dao title		
	1 collection	A123	Arts	1720 to 1850	1720	1850	25	items			The Metropoli	i jpn - Japanese	2			
	2 item	A123/1	The Four Acc	co ca. 1788-90	1788	1790	1	object(s)	15 x 30 in. (38	8 Kitagawa Ut	amaro	jpn - Japanese	https://colle	ct The Four Acc	complishmen	ts (Kinkishog
	2 item	A123/2														
<pre>column</pre>	3>															
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	s:Type="St															
		mplates se	elect="ead:d	id/ead:uniti	d[position	() eq \$curi	ent-positi	.on]"/>								
column</th <td></td>																
Cell ss:St																
	s:Type="St															
		mplates se	elect="ead:d	id/ead:unitt	<pre>itle[posit</pre>	ion() eq \$0	urrent-pos	ition]"/>		level="it	em">					
									<	did>						
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-		ad:did/ead	d:unitdate[n	ot(@type = '	bulk')][po	sition() ed	\$current-	position]"	/>							
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(based on https://github.com/fordmadox/Excel-to-DSC/tree/master by Mark Custer)



Hand-coding

- Using an XML editor such as the free tool Notepad++ or commercial products like Oxygen or Dreamweaver
- Either creating EAD XML files from scratch
- Or editing XML files exported from collection management systems or created in other ways



Hand-coding

Customize editor

...

2	lo	1112	e	eu	itor

< •>>	XML	Document	

Schema URL:	http://www.loc.gov/ead/ead3.xsd	0
Schema type:	XML Schema	•
Public ID:		
Namespace:	http://ead3.archivists.org/schema/	
Prefix:		
Root Element:	ead	\$
Description		

New

Add optional conte Add first Choice pa				
	< Back	Customize >	Cancel	Create

	ad xmlns="http://ead3.archivists.org/schema/"
3	<pre>xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"</pre>
4	<pre>xsi:schemaLocation="http://ead3.archivists.org/schema/ http://www.loc.gov/ead/ead3.xsd"</pre>
5 🤝	<control></control>
6	<recordid></recordid>
7 🤝	<filedesc></filedesc>
8 🤝	<titlestmt></titlestmt>
9	<titleproper></titleproper>
LO	
1	
2	<pre><maintenancestatus value=""><</maintenancestatus></pre>
13 🤝	<maintenanceagency></maintenanceagency>
4	<agencyname></agencyname>
15	
6 🗢	<maintenancehistory></maintenancehistory>
7 🗸	<maintenanceevent></maintenanceevent>
8	<eventtype value=""></eventtype>
.9	<eventdatetime></eventdatetime>
20	<agenttype value=""></agenttype>
21	<agent></agent>
22	
23	
24	
25 🗸	<archdesc level=""></archdesc>
26	<did>_</did>
27	
28 </td <td>/ead></td>	/ead>

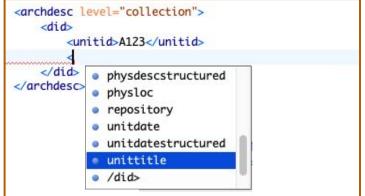
(here: Oxygen)



?

Hand-coding

<ead xmlns="http://ead3.archivists.org/schema/"</pre> xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="http://ead3.archivists.org/schema/ http://www.loc.gov/ead/ead3.xsd"> <control> <recordid>A123</recordid> <filedesc> <titlestmt> <titleproper>Arts</titleproper> </titlestmt> </filedesc> <maintenancestatus value="new"/> <maintenanceagency> <agencyname>The Metropolitan Museum of Art</agencyname> </maintenanceagency> <maintenancehistory> <maintenanceevent> <eventtype value="created"/> <eventdatetime standarddatetime="2024-05-16"/> <agenttype value="human"/> <agent>Jane Smith (curator)</agent> </maintenanceevent> </maintenancehistory> </control> <archdesc level="collection"> <did> <unitid>A123</unitid> </did> </archdesc> </ead>



(here: Oxygen)



Publishing EAD documents



Built-in publishing platform (here: AtoM)

The Metropolitan Museum of Art	Item A123/1 - The Four Accomplishme	
lick search	Hide hierarchy	· · · · · · · · · · · · · · · · · · ·
ick search		
earch hierarchy Q	E [File] A123/1 - The Four Accomplishments (Kinkishoga)	
	Arts » The Four Accomplishments (Kinkishoga)	
		Clipboard
		Add
		Explore
		Reports
		E Browse as list
		Browse digital objects
	STALL CALL	Import
		± XML
		± CSV
		Export
		🛓 Dublin Core 1.1 XML
	h desettles server	1 EAD 2002 XML
	Identity area	> Finding aid
	Reference code US-Met A123-A123/1	Cenerate Generate
	Title The Four Accomplishments (Kinkishoga)	🏦 Upload
		Tasks
	Date(s) • ca. 1788-90 (Creation)	Calculate dates
	Level of description Item	C Last run: Never
	Extent and medium 1 object	Related people and
	15 x 30 in. (38.1 x 76.2 cm)	organizations
		Kitagawa Utamaro (Creato



IBRARY LIBRARY LIBRARY	ASK A LIBRARIAN	DIGITAL COLLECTIONS	LIBRARY CATALOGS	Search	Search Loc.gov GO
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Related Resources	Some	or all content stored offsite.			
 <u>LC Catalog</u> <u>Research Centers</u> <u>Finding Aids (XML)</u> 	Show s	earch terms in context.			



Th

LIBRARY

ASK A LIBRARIAN DI

DIGITAL COLLECTIONS LIBRARY CATALOGS

The Library of Congress > Researchers > Search Finding Aids > Alexander Hamilton papers, 1708-1917

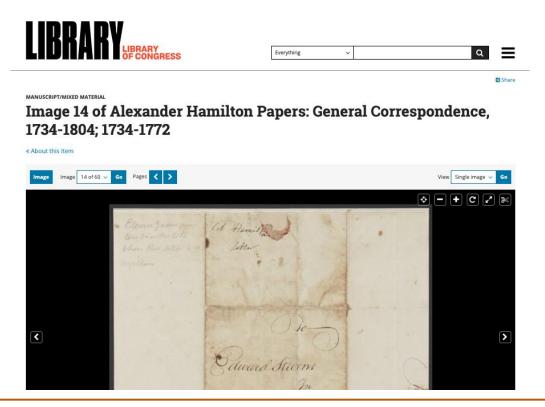
Alexander Hamilton papers, 1708-1917

Search this	s Finding Aid			all words	✓ Search		Search All Finding Aids		Contact Us	
Overview	Contents List	Index Terms	Using this Collection	Search Results	Print/Download					
Title Page	Collection Summ	ary <u>Biographic</u>	cal/Organizational Note	Scope and Conte	ent Arrangement					
					A Some or	content stored offsite.				
Collection	Summary									
Title		Alexander Ha	milton papers, 1708-19	17						
Inclusive	Dates	1708-1917								
Bulk Date	es	1777-1804								
ID No.		MSS24612								
Creator		Hamilton, Ale:	xander, 1757-1804							
Extent		12,000 items								
Extent		44 containers	plus 3 oversize							
Extent		22.4 linear fe	et							
Extent		34 microfilm r	reels							
Language	e	Collection mat	terial in English							
Location		Manuscript Di	ivision, Library of Congre	ess, Washington, I	D.C.					
Finding A	Aid Permalink	Cite or bookmark this finding aid as: https://hdl.loc.gov/loc.mss/eadmss.ms003014								
LCCN Per	rmalink	LC Catalog re	cord: https://lccn.loc.go	v/mm81024612						
Summary	y	Delegate from New York to the United States Continental Congress, United States secretary of the treasury, United States army officer, statesman, and lawyer. Correspondence, speeches and writings, legal and financial papers, printed matter, and other papers relating to Hamilton's personal life and public career, especially his service as an aide to George Washington during the Revolutionary War, his participation in the United States Continental Congress and the Constitutional Convention, his service as United States secretary of the treasury, his New York law practice, and his service as inspector general of the army.								
Online Co	ontent		f Alexander Hamilton are are required to consult th			Veb site at https://hdl.loc.gov/loc.m	ss/collmss.ms000059. To pr	omote preservation of the orig	ginals,	



Search this Findi	ing Aid		all words 🗸	Search	Se	arch All Finding Aids Help Contact
Verview Conte	ents List Index Terms	Using this Collection	Search Results P	rint/Download		
« Previous Page	Next Page » General	Correspondence, 1734-1	1804			>> Navigate Contents L
			🐴 Some or all conte	nt stored offsite.		Contents List
BOX 1 REEL 1 1773-1777 BOX 1 REEL 1 1773-1777			<u>1804</u> <u>Legal File, 1708-1804</u> <u>Financial Papers, 1782-1804</u> <u>Family Papers, 1737-1917</u> <u>Miscellany, 1711-1820</u>			
BOX 1 REEL 1	1778 Digital co	ontent available ontent available				
BOX 1 REEL 1 BOX 1 REEL 1	1780	ontent available				Oversize, 1775-1805
BOX 1 REEL 1	1781	ontent available				
BOX 2 REEL 1	1782, JanJ					
BOX 2 REEL 2	1782, Aug	Dec.				
BUX 2 REEL 2	Digital co	ontent available				







Institutional website (here: Yale University)

Archives at Yale		
Repositories - Collections Subjects Names Help Q		
Duke Ellington Oral History S Collection Call Number: OHIII	PDF Finding Aid Citat	-
Oral History of American Music Duke Ellington Oral History Collection Overview Finding Aid View Digital Materials Container List		
Scope and Contents The Duke Ellington Oral History is comprises ninety-two interviews with and about Ellington, one of America's greatest composers. Included among the interviewees are musicians, family members, friends, and colleagues.	Search Collection	To year
Dates • 1939 - 1987 • Majority of material found within 1977 - 1987	Search Navigate the collect	tion
Conditions Governing Access This collection is open for research. The finding aid includes links to stream most interviews online via Oral History of	Duke Ellington Oral His Ailey, Alvin Anderson, Edmund	tory



Institutional website (here: Yale University)

Archives at Yale					
Repositories - Collections Subjects Names Help	۹				
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Milnes, Harriett (Interviewer)	[Aviary] Ohili 577 a-c	 Ashby, Harold 			
		 Avakian, George 			
Physical Description		+ Banks, Maurice			
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Physical Description		+ Baron, Art			
Transcript Page Count: 60 pages		Beatty, Tally			



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National aggregation (here: Archives Hub, United Kingdom)

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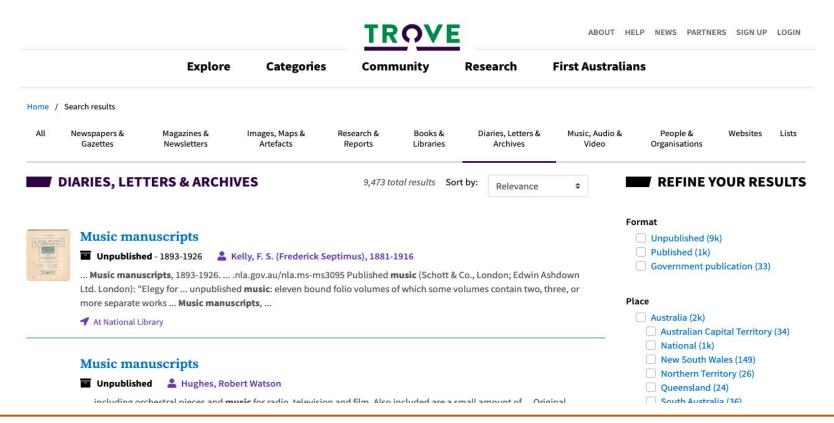


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National aggregation (here: Trove, Australia)





National aggregation (here: Trove, Australia)

Guide to the Papers of Frederick Septimus Kelly

View online items only View full collection 💿 **Table of Contents Collection Summary** Introduction Scope and Contents **Conditions Governing Access Conditions Governing Use** Preferred Citation Provenance Related Materials Arrangement **Biographical Note Item Descriptions** Bound volume of manuscript music scores, 1905-1913 (Item 1) Bound volume of manuscript music scores, 1906 (Item 2) Bound volume of manuscript music scores for 'Orchestral suite in E flat' (two of four movements, see also items 15-

MS 3095

National Library of Australia View Catalogue Record 🗹

Collection Summary

Creator	Kelly, Frederick Septimus, 1881-1916
Title	Papers of Frederick Septimus Kelly
Date Range	1893 - 1926
Collection Number	MS 3095
Extent	1.40 metres (5 folio boxes)
Language of Materials	English
Repository	Special Collections (Manuscripts)

Introduction

Scope and Contents

The collection comprises fourteen bound volumes of manuscript music scores and a number of unbound manuscript music sc 15-55) in Kelly's hand and early published works of Kelly (items 55-61).



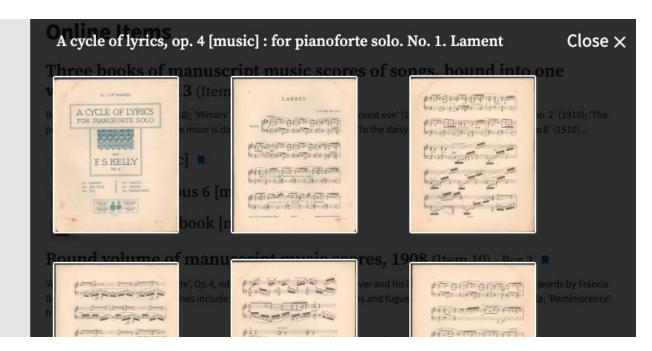


National aggregation (here: Trove, Australia)

Table of Contents

Item Descriptions

- Three books of manuscript music scores of songs, bound into one volume, 1909-1913 (Item 8)
- Bound volume of manuscript music scores, 1908 (tem 10)
- Two songs. 'Shall I compare thee?' (Op. 1, No. 1)., 1912 (Item 56)
- Two songs. 'Aghadoe' (Op. 1, No. 2), 1912 (Item 57)
- Theme variations and fugue for two pianos, Op. 5, 1913 (Item 58)
- Six songs, Opus 6, 1913 (Item 59)
- Two organ preludes, 1925 (Item 60)
- Elegy for string orchestra, 1926 (Item 61)





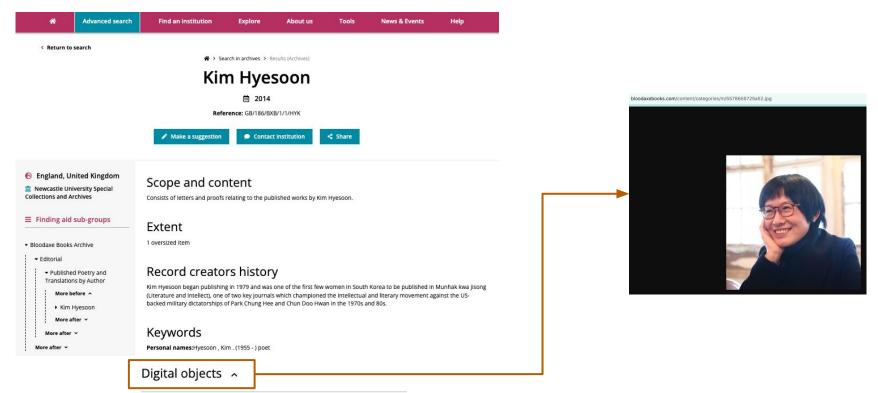
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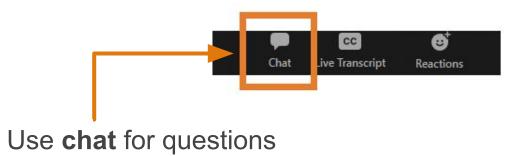
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Technical Subcommittee on Encoded Archival Standards

Thank you very much for your participation!

The recording will be available on YouTube: https://www.youtube.com/user/saastaff

